

**Patents Currently Asserted by Broadcom in Civil Action No. 05-468 (C.D. Cal) and U.S.
International Trade Commission Case. No. 377-TA-543**

/PN/ 5,682,379
/PN/ 6,359,872
/PN/ 6,374,311
/PN/ 6,583,675
/PN/ 6,714,983

/Phuongchau Nguyen/ 10/22/2007

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

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of

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Complete if Known

Application Number	10/692,959
Filing Date	10/24/2003
First Named Inventor	Mahany et al.
Group Art Unit	2616
Examiner Name	Vy, Huy Duy
Attorney Docket Number	14407US02

U.S. PATENT DOCUMENTS

Examiner Initial*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/PN/	A86	3,955,140	05-04-1976	Stephens	
	A87	4,989,230	01-29-1991	Gillig	
	A88	5,682,379	10-28-1997	Mahany et al.	
	A89	6,359,872	03-19-2002	Mahany et al.	
	A90	5,465,207	11-07-1995	Boatwright, et al.	
	A91	5,457,629	10-10-1995	Miller, et al.	
	A92	3,745,462	07-10-1973	Trimble	
	A93	5,351,270	09-27-1994	Graham, et al.	
	A94	2,731,622	01-17-1956	Doremus et al.	
	A95	2,932,729	04-12-1960	Yamato et al.	
	A96	2,987,615	06-06-1961	Dimmer et al.	
	A97	3,366,880	01-30-1968	Driver et al.	
	A98	3,387,212	06-04-1968	Kaufman	
	A99	4,481,382	11-06-1984	Villa-Real	
	A100	4,491,966	01-01-1985	Morcerf et al.	
	A101	4,627,107	12-02-1986	Hofffeld et al.	
	A102	4,659,878	04-21-1987	Dinkins	
	A103	4,677,655	06-30-1987	Hashimoto	
	A104	4,682,351	07-21-1987	Makino	
	A105	4,700,375	10-13-1987	Reed	
	A106	4,706,274	11-10-1987	Baker et al.	
	A107	4,741,019	04-26-1988	Takahashi	
/PN/	A108	4,879,740	11-07-1989	Nagashima et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
/PN/	B22	WO 89-04569	05-18-1989	Massa et al.		
	B23	AU 696841	07-20-2006	Mahany et al.		
	B24	WO 94/27382	05-06-1994	Mahany et al.		
/PN/	B25	GB 2162404A	01-29-1986	Munday et al.		

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IDS - Bluetooth 5,682,379 & 6,359,872\No Fee\14407US02\14407US02 Supp SB08A.doc

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Sheet	2	Of	6
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OTHER ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
/PN/	C28	McNAMARA, "Technical Aspects of Data Communication", Digital Press, 3rd Edition, 1988
	C31	Bluetooth Patent/Copyright License Agreement, Bates Nos. QBB029532-29569
/PN/	C32	The Bluetooth SIG: General Information & Membership Benefits, Bates Nos. QBB029518-19531, 04/2003
	C33	Bluetooth SIG, Inc. Membership Application
/PN/	C34	Acceptance of Associates Membership By Qualcomm, Includes Bluetooth SIG Application for Membership, Bates Nos. QBB029479-29482, 08/08/2001
	C35	MSM Overview & Data Sheets: Overview only – MSM7600, 7500, 7200 & 6125: Overview & Data Sheets – MSM6800, 6500, 6300, 6280, 6275, 6260, 6255, 6250, 6225, 6200, 6100, 6050, 6025 & 6000
	C37	Specifications of the Bluetooth System, PROFILES, Specification Vol. 2, version 1.1, 02/22/200
/PN/	C40	Hands-Free Profile Adopted Version 1.0, 04-20-2003 QBB 729883-719955
/PN/	C41	Specification of the Bluetooth System, PROFILES, Specification Vol. 2, v1.0B, 12/01/1999
	C42	Broadcom's Complaint Under Section 337 Of The Tariff Act of 1930, As Amended, with index of Exhibits
/PN/	C48	Gollnick Deposition Transcript, 10/27/2005
/PN/	C49	Bunte Deposition Transcript, 10/28/2005
/PN/	C50	Luse Deposition Transcript, 11/17/2005
/PN/	C51	Steven Koenck Deposition Transcript, 11/15/2005
	C52	Expert Report Concerning U.S. Patent Nos. 5,682,379 and 6,359,872 by Professor Stuart G. Schwartz
	C53	MSM Overview & Data Sheet – MSM6150
/PN/	C58	Posthearing Brief Of The Commission Investigative Staff, United States International Trade Commission, 04/03/2006
/PN/	C59	"Automation In Warehousing", Proceedings Of The 8th International Conference, Tokyo, Japan, 10/06-08/1987, pp. 1-355
/PN/	C60	"Supporting Research And Advanced Development", NASA Space Programs Summary 37-48, Vol. III, Jet Propulsion Laboratory, 12/31/1967, pp. 1-291
	C61	07/099,810 – file history
	C62	07/700,704 – file history
	C63	07/302,292 – file history
	C64	07/007,000 – file history
	C65	08/027,140 – file history
	C66	08/500,377 – file history
	C67	08/839,432 – file history
	C68	07/333,438 – file history
	C69	07/746,707 – file history
	C70	10/101,430 – file history
	C71	10/450,597 – file history
	C72	07/876,776 – file history
	C73	07/854,446 – file history
	C74	07/550,806 – file history
	C75	07/529,333 – file history

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/PN/	C76	Claim Construction Order for '872 Patent, and Court's Claim Construction, 05/01/06
/PN/	C77	Claim Construction Order for '379 Patent, and Court's Claim Construction, 05/01/06
	C78	INTENTIONALLY LEFT BLANK
/PN/	C79	NASA Technical Note, NASA TN D-6974, Apollo Experience Report - Lunar Module Communications System, September 1972
/PN/	C80	NASA, Apollo Program Summary Report, JSC-09423, TM-X-68725, April 1975
/PN/	C81	NASA, Apollo 17 Press Kit, Release No.: 72-220K, November 26, 1972
	C82	INTENTIONALLY LEFT BLANK
	C83	Panasonic, Cordlessphone KX-T3000 EASA PHONE
/PN/	C84	MAJ. ONDO, "Field Artillery Journal: PLRS/JTIDS Hybrid," Jan-Feb 1981, pp. 20-26
	C85	PROFFITT, 36 th IEEE Vehicular Technology Conference, "The Portable Cell Site," May 20-22, 1986, pp. 291-300
	C86	RECHTER, IEEE Military Communications Conference, Conference Record Volume 1, "PLRS-JTIDS Hybrid (PJM) Satellite Overlay Concept," October 21-24, 1984, pp. 280-283
	C87	ELDEN, IEEE Military Communications Conference, Conference Record Volume 1 of 3, "Survival Networks For The 21 st Century TACS," October 20-23, 1985, pp. 210-214
	C88	DE PEDRO, PLANETA, and PERLOFF, IEEE Military Communications Conference, Conference Record Volume 1 of 3, "Multimedia Networking Techniques to Enhance Communications Survivability," October 20-23, 1985, pp. 308-312
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	C90	CUMMINGS, and TURNER, Conference Record Volume 2 of 3, "A Mixed-Media Communications Architecture for Enhanced Interoperability," 1986
	C91	FERNANDEZ, IEEE Military Communications Conference, Conference Record Volume 1 of 3, "Architecture for Tactical C3 Systems Management," October 19-22, 1987, Section 1.5.1-1.5.7
	C92	RAFTER, WALKER, and JONES, IEEE Military Communications Conference, Conference Record Volume 3 of 3, "A Distributed Multiple Media Network Architecture," October 15-18, 1989, Section 47.1.1-47.1.5
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	C95	LESSARD, and GERLA, IEEE Network, Volume 2, No. 3, "Wireless Communications in the Automated Factory Environment," May 1988, pp. 64-69
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	C97	WENCE, and ZENKO, IEEE: "Breakthrough in Radio Technology Offers New Application Options," 1989, pp. 384-388
/PN/	C98	COCHRANE, FALCONER, MUMMERT, and STRICH, IEEE Communications Magazine, Volume 23, No. 10: "Latest Network Trends," October 1985, pp. 17-31

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OTHER ART -- NON PATENT LITERATURE DOCUMENTS

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/PN/	C99	LEINER, COLE, POSTEL, and MILLS, IEEE Communications Magazine, Volume 23, No. 3: "The DARPA Internet Protocol Suite," March 1985, pp. 29-34
↓	C100	ROBERTAZZI, and SARCHIK, IEEE Communications Magazine, Volume 24, No. 1: "Self-Organizing Communications Networks," January 1986, pp. 28-33
↓	C101	PARR, and GREEN, JR., IEEE Communications Magazine, Volume 23, No. 8: "Communications for Personal Computers," August 1985, pp. 26-36
↓	C102	CHIEN, GOODMAN, and RUSSEL SR., IEEE Communications Magazine, Volume 25, No. 6, "Cellular Access Digital Network (CADN): Wireless Access to Networks of the Future," June 1987, pp. 22-31
↓	C103	BOGGS, SHOCH, TAFT, and METCALFE, IEEE Transactions on Communications, Vol. Com-28, No. 4, "PUP: An Internetwork Architecture," April 1980, pp. 612-624
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↓	C108	JUBIN, and TORNOW, Proceedings of the IEEE, Vol. 75, No. 1, "The DARPA Packet Radio Network Protocols," January 1987, pp. 21-32
↓	C109	SCHACHAM, and WESTCOTT, Proceedings of the IEEE, Vol. 75, No. 1, "Future Directions in Packet Radio Architectures and Protocols," January 1987, pp. 83-99
↓	C110	TSUCHIYA, ACM: "An Architecture for Network-Layer Routing in OSI," 1988, pp. 185-190
↓	C111	EPSTEIN, GILMOUR, and YOON, IEEE: "Application of Commercial Wireless LAN Technology to Forward Area Mobile Communication," 1993, pp. 490-496
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↓	C117	WOOLNOUGH, EETimes "Britain Offers Digital Cordless Phone Service," December 14, 1987
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↓	C120	INTENTIONALLY LEFT BLANK
↓	C121	Eugene Corman Declaration, including exhibits at tab 1-4

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/PN/	C122	MAHANY, Norand, Engineering Development Record Log, 09/21/89- 09/29/89
	C123	MAHANY, Norand, Engineering Log Sheet, 09/21/89-09/22/89
	C124	KOENCK, Norand, Engineering Log Sheet, December 13, 1991 - January 22, 1992
	C125	INTENTIONALLY LEFT BLANK
	C126	DIETZ, et al., NASA Technical Note TN-6974, "Apollo Experience Report - Lunar Module Communications System.", 09/1972
/PN/	C127	Government Reports - NTIS Publication GPA, December 25, 1972
	C128	Notice Regarding Issuance Of Initial Determination And Recommended Determination On Remedy And Bond
/PN/	C129	Rebuttal Expert Report Of Dr. Jerry D. Gibson On Validity Of US Patent Nos. 5,682,379 And 6,359,872, 09/19/2006
	C130	Expert Report of Dr. Alexander Haimovich On The Invalidity Of The Asserted Claims Of U.S. Patent Nos. 5,682,379 And 6,359,872, 08/31/2006
	C131	Qualcomm Incorporated's Notice Under 35 U.S.C. § 282, 01/25/2007
	C132	FRANKEL et al., "An Overview Of The Army/DARPA Distributed Communications And Processing Experiment", IEEE Journal on Selected Areas In Communications., Vol. Sac-4, No. 2, 03/1986, pp. 207-215
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/PN/	C135	SCHOPPE, "The Navy's Use Of Digital Radio", IEEE Transactions On Communications, Vol. Com-27, No. 12, 12/1979, pp. 1938-1945
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/PN/	C139	"Systems", Jane's Military Communications, 1983, pp. 736-740, pp. 24-39
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	C145	DABBS et al., NASA TN D-6739, Voice Communication Techniques & Performance, 03/1972
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/PN/	C148	Theory Maintenance Manual 68P1010C05, 1982

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/PN/	C149	KOBAYASHI et al., "Detachable Mobile Radio Units", IEEE 1984, pp. 6-11
↓	C150	U.S. Dept. of Commerce, Government Reports Announcements, Vol. 72, No. 24, 12/25/1972, p. 212
↓	C151	"The Great Adventure", Newsweek Magazine, 07/21/1969, pp. 68-75
↓	C152	Expert Witness Report Of Larry S. Nixon Pursuant To Fed. R. Civ. P. Rule 26(a)(2)(B), 09/22/2006
↓	C153	Broadcom's Memorandum of Points And Authorities In Support Of Its Cross-Motion For Summary Judgment On The Effective Filing date Of U.S. Patent Nos. 5,682,379 And 6,359,872, 10/25/2006
/PN/	C154	Broadcom Corporation's Reply In Support Of Its Cross-Motion For Summary Judgment On Effective Filing Date Of U.S. Patent Nos. 5,682,379 And 6,359,872, 11/16/2006

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/PN/	C155	Expert Report of Stephen G. Kunin
	C156	Qualcomm's Memorandum of Points and Authorities in Support of Its Motion for Summary Judgment of Invalidity of Claims 8-11 and 13 of U.S. Patent No. 6,359,872 (Sept. 8, 2006)
	C157	Qualcomm's Memorandum of Points and Authorities in Support of Its Motion for Summary Judgment of Invalidity of Claim 2 of U.S. Patent 5,682,379 (Sept. 8, 2006)
	C158	Broadcom's Corrected Opposition to Qualcomm's Motion for Summary Judgment of Invalidity of Claim 2 of U.S. Patent No. 5,682,379 (Oct. 25, 2006)
	C159	Broadcom's Corrected Opposition to Qualcomm's Motion for Summary Judgment of Invalidity of Claims 8-11 and 13 of U.S. Patent No. 5,369,872 (Oct. 25, 2006)
	C160	Qualcomm's Reply in Support of Its Motion for Summary Judgment of Invalidity of Claim 2 of U.S. patent No. 5,682,379 (Nov. 13, 2006)
	C161	Qualcomm Opposition to Broadcom's Cross-Motion for Summary Judgment on Effective Filing Date of U.S. patent Nos. 5,682,379 and 6,359,872 (Nov. 13, 2006)
	C162	Qualcomm's Reply in Support of Its Motion for Summary Judgment of Invalidity of Claims 8-11 and 13 of U.S. Patent No. 6,359,872 (Nov. 13, 2006)
	C163	Supplemental Expert Report of Stephen G. Kunin, 01/20/2006
	C164	Qualcomm Incorporated's Sixth Supplemental Objections And Responses To Complainant's First Set Of Interrogatories (Nos. 1-15), 12/09/2005
	C165	Qualcomm Incorporated's Responses And Objections To Broadcom Corporation's First Set Of Interrogatories (Nos. 1-31), 07/17/2006
↓	C166	Qualcomm Incorporated's Supplemental Responses And Objections To Broadcom Corporation's First Set Of Interrogatories (Nos. 1-31), 08/28/2006
/PN/	C167	Qualcomm Incorporated's Second Supplemental Responses And Objections To Broadcom Corporation's First Set Of Interrogatories (Nos. 1-31), 09/15/2006

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